



*"Cedar Rapids is a vibrant urban hometown – a beacon for people and businesses that are invested in building a greater community now and for the next generation."*

# **REQUEST FOR PROPOSAL**

February 13, 2018

For  
**ASSET MANAGEMENT SOLUTION**  
**RFP #PUR0218-129**

Prepared by  
City of Cedar Rapids  
Purchasing Services Division

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| <b>Attachment</b> | <b>Attachment Name</b>  |
|-------------------|---|
| A                 | Standard Terms and Conditions   |
| B                 | Insurance Requirements  |
| C                 | Submittal Forms<br>(General Company Information, Certification Regarding Ability to Obtain Required Insurance, Signature Page Form, Buy Local Packet) |

**SECTION 1.0 – NOTICE OF REQUEST FOR PROPOSAL (RFP)**

1.1 Notice of Request for Proposal

Notice is hereby given that sealed proposals will be received before 3:00 pm on Friday, March 9, 2018, at the Office of the City Clerk, in City Hall, 101 First Street SE, Cedar Rapids, Iowa 52401 for the Asset Management Solution project as requested by the City of Cedar Rapids Information Technology Department.

1.2 RFP Timeline

|  |   |
|--|---|
| <b>Name of the Proposal</b>            | Asset Management Solution, RFP #PUR0218-129   |
| <b>Date of Issuance</b>                | February 13, 2018   |
| <b>Pre-Proposal Meeting</b>            | Thursday, February 22, 2018 at 3:00 pm CST<br>Edgewood Conference Room - City Hall<br>101 1 <sup>st</sup> Street SE<br>Cedar Rapids, Iowa |
| <b>Deadline for Questions</b>          | Friday, February 23, 2018 at 5:00 pm CST  |
| <b>Deadline for Proposal Submittal</b> | Friday, March 9, 2018 before 3:00 pm CST<br>Proposals time stamped 3:00 pm CST or after are late  |
| <b>Recommendation for Award</b>        | May 2018  |

**Submit Proposal to: →→→→→→→→**

**Submit in a sealed envelope.**

**Address exactly as stated.**

**City Clerk Office Hours 8 am to 5 pm, Mon-Fri**

|  |
|--|
| Sealed Proposal: Asset Management Solution<br>Office of the City Clerk-City Hall<br>101 First Street SE<br>Cedar Rapids IA 52401 |
|--|

**Method of Submittal**

US Mail, Overnight Delivery or In Person  
Electronic and fax proposals **are not** acceptable

**Contact Person, Title**

Diane Muench, CPPB, Purchasing Services Manager

**E-mail Address**

[d.muench@cedar-rapids.org](mailto:d.muench@cedar-rapids.org)

**Phone/ Fax Numbers**

Phone: 319-286-5023 Fax: 888-815-3659

1.3 The City is not responsible for delays occasioned by the U.S. Postal Service, the internal mail delivery system of the City, or any other means of delivery employed by the Proposer. Similarly, the City is not responsible for, and will not open, any proposal responses that are received on or after the time stated above. Late submittals will be retained in the RFP file, unopened. No responsibility will be attached to any person for premature opening of a proposal not properly identified.

1.4 Proposals will be publicly opened on Friday, March 9, 2018 at 3:00 pm CST (our clock) in City Hall, 101 First Street SE, Cedar Rapids 52401. Only the names of companies who submitted proposals will be revealed. The main purpose of this opening is to reveal the name(s) of the Proposer(s), not to serve as a forum for determining the awarded proposal(s).

1.5 Proposals will be evaluated promptly after opening. After an award is made, a proposal summary will be sent to all companies who submitted a proposal. Proposal results will not be given over the telephone or prior to award. Proposals may be withdrawn any time prior to the scheduled closing time for receipt of proposals; no proposal may be modified or withdrawn for a period of sixty (60) calendar days thereafter.

----- End of Section 1.0 -----

## SECTION 2.0 – INSTRUCTIONS TO PROPOSERS

### 2.1 FEDERAL FUNDING PROVISIONS

This Project is not federally funded.

### 2.2 NOTICE: INSURANCE IS REQUIRED FOR THIS PROJECT

**SERVICES SHALL NOT BEGIN UNTIL THE CERTIFICATE OF INSURANCE AND ALL ENDORSEMENTS ARE RECEIVED AND APPROVED BY THE CITY.**

At all times during the term of the Services and the Contract, and any extensions thereof, the Consultant shall purchase, at its own expense, and maintain with insurance companies in good standing and acceptable to the City. Such insurance will protect the Consultant from liability and claims for injuries and damages which may arise out of or result from the Consultant's operations under the Contract and for which the Consultant may be liable, whether such operations are by the Consultant or by a Subconsultant or by anyone directly or indirectly employed by any of them, or by anyone for whose acts any of them may be liable.

For the protection of the Consultant and the City, but without restricting or waiving any obligations of the Consultant herein contained, the Consultant shall insure the risks associated with the Services and the Contract with minimum coverages and limits as set forth in Attachment B, INSURANCE REQUIREMENTS.

2.3 Whenever used in this RFP the following terms shall have the meaning given as follows: City shall mean the City of Cedar Rapids, Iowa. Consultant shall mean the firm providing the Asset Management Solution for the Information Technology Department. Subconsultant shall mean any person, firm, or corporation who contracts with the Consultant to perform a service for which the basis of payment or Scope of Services is identified as a part of this RFP. Project Manager shall mean Nic Roberts, Information Technology Director, who is the designated coordinator and administrator for the Services under this project.

2.4 A company representative who is authorized to bind the Company will sign on behalf of the company to indicate to the City that you have read, understand and will comply with the instructions and all terms and conditions stated in this Request for Proposal and all attachments.

### 2.5 Pre-Proposal Meeting

In addition to the information contained in the Request for Proposal, you are strongly encouraged to attend a pre-proposal meeting to be held on Thursday, February 22, 2018 at 3:00 pm CST at City Hall - Edgewood Conference Room, 101 1<sup>st</sup> Street SE, Cedar Rapids, Iowa. The City is inviting all Proposers to attend this meeting to ask specific questions and request clarifications on the Scope of Services of this proposal document. All interested Proposers are strongly encouraged to attend in order to familiarize themselves with the systems and all conditions that may affect the time or cost of performance.

2.6 This Request for Proposal does not commit the City to make an award, nor will the City pay any costs incurred in the preparation and submission of proposals, or costs incurred in making necessary studies for the preparation of proposals.

### 2.7 Addenda

Any matter of this proposal package that requires explanation or interpretation must be inquired into by the Proposer in writing by Friday, February 23, 2018 at 5:00 pm CST. FAX or E-MAIL all questions to Diane Muench, CPPB, 888-815-3659 or [d.muench@cedar-rapids.org](mailto:d.muench@cedar-rapids.org). Any and all questions will be responded to in the form of written addenda to all Proposers. All addenda that you receive shall become a part of the Contract Documents and shall be acknowledged and dated on the bottom of the Signature Page Form (Attachment C). All Addenda will be posted on the City's website. It is the Proposer's responsibility to check for addenda.

[www.cedar-rapids.org/local\\_government/departments\\_g\\_-\\_v/purchasing\\_services/current\\_bid\\_opportunities\\_list.php](http://www.cedar-rapids.org/local_government/departments_g_-_v/purchasing_services/current_bid_opportunities_list.php)

### 2.8 Exceptions to Documents

The Proposer shall clearly state in the submitted proposal any exceptions to, or deviations from, the minimum proposal requirements, and any exceptions to the terms and conditions of this RFP. Such exceptions or deviations will be considered in evaluating the proposals. Proposers are cautioned that exceptions taken to this RFP may cause their proposal to be rejected.

2.9 Silence of Specifications

Commercially accepted practices shall apply to any detail not covered in the specification and to any omission of the specification. Any omission or question of interpretation of the specification that affects the performance or integrity of the service being offered shall be addressed in writing and submitted with the Proposal.

2.10 Incomplete Information

Failure to complete or provide any of the information requested in this Request for Proposal, including references, and/or additional information as indicated, may result in disqualification by reason of "non-responsiveness".

2.11 No responsibility will be attached to any person for premature opening of a proposal not properly identified.

2.12 In the event of conflict, the Special Terms and Conditions shall take precedence over the Standard Terms and Conditions, included herein.

Be advised that any conversations (in reference to this RFP) between proposers and any City employee, City official or City Project Manager, outside of the Purchasing Services Division, during the entire competitive proposal process is strictly prohibited. Such actions will result in removal of the Consultant from the vendors list and rejection of the Consultant's proposal. **The ONLY official position of the City is that position which is stated in writing and issued by the Purchasing Services Division.** No other means of communication, whether written or oral, shall be construed as a formal or official response statement.

----- End of Section 2.0 -----

## SECTION 3.0 – SPECIAL TERMS AND CONDITIONS

### 3.1 Term of Contract

- 3.1.1 The initial term of the Contract shall commence on the date the City executes the Contract and shall end on December 31, 2018.
- 3.1.2 The Contract may be extended by written mutual agreement by the City and the Consultant.
- 3.1.3 A Contract, prepared by the City and signed by the City Manager, shall become the document that authorizes the Services to begin, assuming the insurance requirements have been met. Each section contained herein, the attachments, and any addenda and the response from the successful Proposer shall also be incorporated by reference into the resulting agreement.
- 3.1.4 The City reserves the right to make changes to the Services to be provided which are within the Project. No assignment, alteration, change, or modification of the terms of the Contract shall be valid unless made in writing and agreed to by both the City and the Consultant. The Consultant shall not commence any additional services or change the Scope of Services until authorized in writing by the City. Consultant shall make no claim for additional compensation in the absence of a prior written approval and amendment of the Contract executed by both the Consultant and the City. The Contract may only be amended, supplemented or modified by a written document executed by the Consultant and the City Manager.
- 3.1.5 In accordance with the provisions and conditions of the Contract, Consultant shall freely enter into the Contract for the purpose of providing Services to the City and to be compensated for the Services.
- 3.1.6 No price escalation will be allowed during the initial term of the contract. If it is mutually decided to renew beyond the initial period and the Consultant requests a price increase, the Consultant shall provide sufficient written certification and documentation to substantiate the request. Documentation shall include, but not be limited to; actual materials invoices, copies of commercial price lists, provision of appropriate indices, which reflect said increases. The City reserves the right to accept or reject price increases, to negotiate more favorable terms or to terminate without cost, the future performance of the contract.

### 3.2 Contract Forms

- 3.2.1 If a Proposer intends to request that the City of Cedar Rapids enter into any agreement form in connection with the award of this project, the form must be submitted with the Proposal for review by the City's legal counsel during the evaluation of Proposals. If such agreement requires that payments be remitted to other than the Proposer, the Proposer shall indicate the name and address of the firm to whom Proposer would request payments to be made, and the firm's relationship to the Proposer.
- 3.2.2 Proposers are advised that in the event any such agreement contradicts the City of Cedar Rapids requirements, the proposal may be rejected due to the contradiction unless Proposer indicated deletion of such clauses. If agreement form indicated a firm other than the Proposer is Consultant, or payee, the proposed Consultant or payee must also indicate concurrence with the deletion of such clauses.
- 3.2.3 If no agreement form is included with the proposal, no such form will be approved by the City during the evaluation or award processes, or following award of contract. If the proposal does not indicate the proposed Consultant, vendor or payee to be a person or company other than the Proposer, (1) only the Proposer will be considered as Consultant and (2) payments will be made only to the Proposer to whom the contract is awarded.
- 3.2.4 The City of City Rapids will in no case agree to terms not submitted for review with the proposal submittal.

### 3.3 Payment Terms and Invoice Submittal

- 3.3.1 Payment terms for Services authorized under the contract shall be net forty-five (45) days upon receipt of an acceptable original invoice and after Services are provided, inspected and accepted and all required documentation and reports are received in a format acceptable to the City.
- 3.3.2 Invoices shall include the following information:
- Consultant name and address

- Date of Services
- City PO number
- Description of Services
- Milestone reached or percent of work completed
- The total amount being invoiced
- The Project Number / Contract Number (#PUR0218-129)

3.3.3 Surcharges (i.e. fuel surcharges, restocking) shall NOT be allowed to be added to invoices as an additional line item.

3.3.4 All invoices and supporting documentation shall be submitted at the intervals as agreed upon:

- a) In a pdf format via e-mail to: [accountspayable@cedar-rapids.org](mailto:accountspayable@cedar-rapids.org)  
or
- b) Via US mail to: City of Cedar Rapids, Finance Department – Accounts Payable,  
101 First Street SE, PO Box 2148, Cedar Rapids, IA 52406-2148.

3.3.5 The City may withhold payment for reasons including, but not limited to the following:

- a) Services that are defective, inaccurate, flawed, unsuitable, nonconforming or incomplete due to negligence of the Consultant;
- b) Damage for which Consultant is liable under the Contract;
- c) Valid liens or claims of lien;
- d) Valid claims of Subconsultants or other persons;
- e) Delay in the progress or completion of the Services;
- f) Inability of Consultant to complete the Services;
- g) Failure of Consultant to properly complete or document any pay request or invoice;
- h) Any other failure of Consultant to perform any of its obligations under the Contract; or
- i) The cost to City, including attorneys’ fees and administrative costs, of correcting any of the aforesaid matters or exercising any one or more of City’s remedies set forth in the Contract.

3.3.6 Actual travel time to and from the work location is not reimbursable under the Contract.

### 3.4 Treatment of Documents and Records

#### 3.4.1 Ownership

All Documents and other materials prepared by the Consultant in connection with this project are the City’s sole property in which the Consultant has no proprietary or other rights or interests. All reports, documents, information, and any materials or equipment furnished to the Consultant by the City shall remain the sole property of the City. Nothing written in this paragraph, however, will be interpreted to forbid the Consultant from retaining a single copy of information for its files.

#### 3.4.2 Confidentiality

Any individual subcontracted or employed by the Consultant with authorized access to personnel information documents, if any, is given access to use any personnel information in the documents solely for the purpose of performing the Services of the Contract and must not divulge this information to anyone without a need to know. Confidentiality of personnel information contained in the documents shall survive the completion or termination of the Contract subject to applicable state statutes.

#### 3.4.3 Disposal

If at any time during the performance of the Contract or following completion or termination of the Contract, Consultant and/or its Subconsultants chooses to dispose of Documents, disposal of Documents shall:

- a) comply with any retention requirements of the agreement, and
- b) be in a manner such that documents or information in the Documents is unable to be read, interpreted, reproduced, copied or duplicated in any fashion.

3.4.4 Access/Retention

During the term of the Contract or following completion or termination of the Contract the Consultant and its Subconsultants, if any, shall maintain all accounting records and other documentation generated in performing the Services under the Contract.

The City or any duly authorized representative of the City shall have access to all such information for the purpose of inspection, audit and copying during normal business hours. All such information shall be retained for five (5) years from the date of final payment and after all other pending matters under the Contract are closed.

This access shall be made available to the City or duly authorized agent and shall be considered incidental to the Scope of Services contained herein. As such, there shall be no additional compensation allowed the Consultant for maintaining this information and allowing the herein described access.

3.5 If Project is funded in any way utilizing Federal Funds the Consultant acknowledges that it may be required to submit to an audit of funds paid through the Contract and as may be conducted in accordance with provisions of the Office of Management and Budget Circular A-133 (Audit of States, Local Government and Non-Profit Organizations).

3.6 Expenditure of Service

The City does not guarantee any minimum or maximum hours of work for the Consultant. There is no guaranteed minimum amount of services that will be required throughout the contract period.

----- End of Section 3.0 -----



## SECTION 4.0 – SCOPE OF SERVICES

- 4.1 Background
- 4.1.1 The City of Cedar Rapids is seeking Proposals from qualified Consultants for an integrated set of application software (commercial-off-the-shelf or custom developed) GIS-centric work order processing that will leverage the City's ESRI GIS environment already in use. The asset management solution used by the City will include these core elements and modules that have an ESRI-interface for multiple users and that enable staff to track and manage infrastructure assets, service requests, work orders, inspection reports and citizen concerns through a centralized database of information.
- 4.1.2 The City's goal is to have the new asset management solution implemented and in production by January 1, 2019.
- 4.2 Definitions - for purposes of this Request for Proposal, the following definitions apply unless specifically defined elsewhere within this RFP.
- 4.2.1 "System" includes the hardware, system software/firmware, application software and supportive programming aids, training and training materials, user manuals, operations documentation, source code, conversion, project management, systems analysis, development expense and related goods and services as specified in this RFP.
- 4.2.2 "Conversion" means the entry of data into the system by the Vendor, at the City's instruction and with the City's prior, written approval, which data is now stored in an electronic medium in the Systems currently used by the City.
- 4.2.3 "Software maintenance" means ensuring that the application software and system software continues to perform as requested.
- 4.2.4 "Hardware" means the computer and related peripheral equipment.
- 4.2.5 "Hardware manufacturer" means the manufacturer(s) and supplier(s) of the Hardware.
- 4.2.6 "Hardware maintenance" means ensuring that the hardware, following its acceptance by the City, continues to perform as outlined in the RFP.
- 4.2.7 "System software" means those computer programs/codes that are furnished by the hardware manufacturer(s) or that control the basic operation of the computer system. This includes the operating systems/firmware and their associated compilers, editors, utilities and data base management programs.
- 4.2.8 "Day" means the City's normal workday from 8:00 am to 5:00 pm, Monday through Friday, excluding legal holidays in the State of Iowa, unless otherwise defined.
- 4.2.9 "Performance period" means the thirty (30) working days following certification of any one or more components of the system, during which the acceptance tests for such components are completed and the successful completion of which results in acceptance.
- 4.2.10 "Respondent", "Offeror", "Vendor" or "Supplier" means that firm acting as prime contractor in offering the goods and services requested by this procurement.
- 4.2.11 "Contract" or "Agreement" means the general agreement for procurement of an Asset Management Solution for the City of Cedar Rapids, Iowa.
- 4.2.12 "Generally available release" means that version of each application software package generally provided to all of Licensor's customers on or before October 1, 2009. This does not include versions in beta testing or at prior pre-release stages as of October 1, 2009.
- 4.2.13 "Open systems", "open systems environment" or "open-systems platform" means that all of the application software and system software proposed will function identically with full portability on the hardware platforms of three or more different hardware manufacturers.
- 4.2.14 "Author" or "Licensor" means the owner of the copyright of each item of application software as of October 1, 2009.

4.2.15 "Correspondence" means any information exchanged in writing between the City and Vendor or their respective employees, agents, consultants, attorneys, sub-contractors or any other authorized party. This shall include all such information, whether in hard copy, facsimile or electronic format.

## 4.2 Core Elements

4.2.1 GIS Integration. NOTE: The City currently uses ESRI for GIS and plans to keep this system. The City is looking to integrate these core elements with the modules noted in 4.3.

4.2.2 Provide a scalable solution which enables complete asset management, inventory management, work management and call center capabilities.

4.2.3 Support the organization of information in City-defined hierarchical levels, including multi-layer assets in a single location.

4.2.4 Integrate with the City's GIS structure and have the ability to utilize GIS layers in the field via a live or wireless connection or a disconnected scenario.

4.2.5 Feature a GIS data model that is fully user definable and customizable without Vendor support.

4.2.6 Provide a data dictionary inclusive to the non-proprietary data model format that includes data names, fields, tables, relationships and other data design elements.

4.2.7 Provide compatibility with any other GIS-based application, including concurrent use of the feature geodatabase.

4.2.8 Have data coordinate location and connectivity that is inherent to the feature data model which enables full utilization of the spatial analysis capabilities of the GIS, including linear referencing, events and other GIS functionality.

4.2.9 Mobile capability solution via application; add-on or interface for mobile devices field use of software including, but not limited to, I-pads, windows tablets, Droid tablets, smart phones, I-phone, android and windows phone.

4.2.10 User defined presets for work orders and map layers.

4.2.11 User defined reports.

4.2.12 Combined map and data field searches.

4.2.13 Document management integration with OnBase 17.

4.2.14 SCADA system integration.

4.2.15 Active Directory integration - Ability to allow users to log in using Active Directory or an internal security system configured on a user-by-user basis.

## 4.3 Additional Modules

4.3.1 Asset Management (including the ability to deliver budget maintenance cost reporting for significant assets or work areas [annual, 5-year periods for budget and financial plan])

4.3.2 Inventory control

4.3.3 Work Order System with prepopulated templates based on individual uses has been added and in our case a key pre-developed template is the ability to document preventive maintenance actions, staff assigned, time accounting, parts, outside contracting support costs, etc.

4.3.4 Prepopulated templates based on individual users

4.3.5 Inspection

4.3.6 Mobile Computing

4.3.7 Business Intelligence/Reporting

4.3.8 Integrate/Interface to PeopleSoft Financials, Computer Aided Dispatch, Electronic Content Management, SharePoint, One-Call, Utilities.

4.3.9 Complaint/Customer Relationship Management

- 4.3.10 Public Access – linking to outside websites
- 4.3.11 All modules must provide role based access.
- 4.3.12 Route optimization
- 4.4 The System as offered should have the following characteristics:
  - 4.4.1 Personnel Considerations
    - a) Software support. It is mandatory that the vendor provide all software support required to maintain the system and all application software and system software in a fully operational status at all times OR provide and/or recommend additional third-party software to supplement their solution.
    - b) End-user operations. The offered system must be capable of being operated by existing personnel, who have varying levels of experience with computer technology, from novice to advanced. End-user training offered by the Vendor will be reviewed and evaluated carefully.
    - c) Role based user types defined by the City.
  - 4.4.2 Data input and inquiry. It is expected that the system will be operated on a continuous basis, supporting transactions and inquiries on a multi-user basis through PCs, tablets and smart phones. It is required that all users be able to access their respective data and records without interference, delay or contention. Response time ideally should never exceed three (3) seconds for any single-record data-file transaction.
  - 4.4.3 Security. The system must provide security which responds both to (1) the sensitive and critical nature of the information it maintains and (2) its continuous use by multiple users from multiple City departments and divisions as well as public users from multiple local and remote locations in a real-time, interactive mode as described in this RFP. Security and integrity of data are critical. The system as offered must provide for both hard and soft security. Soft security should be provided down to the field level.
  - 4.4.4 Data protection and back-up. The vendor's response shall provide for daily backup of the entire system without bringing the system down. The system must provide suitable media and procedures for backup. Daily incremental backups are intended to include only those files and documents which have had any add, update or delete activity since the last daily incremental or system backup. Maintain 24x7 operations.
  - 4.4.5 Adequacy. The system as offered must be of sufficient capacity, size and speed to support all modules as specified for implementation in this RFP.
  - 4.4.6 Modularity. The system as offered must be able to be expanded to support additional users from the City and the public. The City should be able to make use of additional hardware, system software and application software from vendor on a fully integrated basis with the hardware, system software and application software offered herein.
  - 4.4.7 Multi-user application software. All application software shall provide full, concurrent access to multiple users in various local and remote locations throughout the City. Responses not providing this level of functionality will not be accepted.
  - 4.4.8 Transaction-driven processing. All application software must be transaction-driven. Data entry should take place at the level of the source transaction. An entered transaction must update not only the record and file against which the transaction is made but also all other related records and files under appropriate security. No data should need to be rekeyed for any purpose between or among any application software systems or subsystems.
  - 4.4.9 Record locking. All application software must incorporate record locking throughout the implementation.
  - 4.4.10 Transaction logging. All applications should, at a minimum, maintain the following information for every change in a record:
    - a) The "before" image.
    - b) The "after" image.

- c) The exact change made.
- d) Who made the change.
- e) From what workstation the change was made.
- f) The date and time of the change.
- g) Open system characteristics. The offered system must comply fully with the definition stated in this RFP.

#### 4.5 Hardware

- 4.5.1 It is the responsibility of each prospective Vendor to present detailed specifications—including manufacturer, model and configuration—for each item of hardware on a unit basis which responds to the entirety of this RFP's specifications. The City reserves the right to consider purchasing equipment outright. NOTE: the City has standardized on Dell Hewlett-Packard hardware and prefers server virtualization if available.
- 4.5.2 New hardware. It is the responsibility of the Vendor to ensure that the hardware which it recommends for procurement by the City to support the full functioning of this system meets or exceeds all of the requirements of this RFP. This includes, for example, specifying servers, PC's or revenue-receiving devices with the full, intended range of functionality and all cables or other appurtenances required for full operation of all hardware.
- 4.5.3 The City will rely on the Vendor's detailed hardware specifications as presented in its response to this RFP to procure separately, pursuant to this RFP and the general agreement, servers, PC's, printers or other hardware which it may need.
- 4.5.4 Reuse of current hardware. The City will use the information which each Vendor may present in its response to determine the viability for reuse of various items in the City's current inventory of hardware. The successful respondent shall cooperate fully with the City in this determination at no cost to the City.
- 4.5.5 Desktop environment. The City's standard desktop environment (with some exceptions) is Microsoft Windows.
- 4.5.6 Mobility on the current iOS platform, Droid and Windows platforms and backward compatible with older versions.

#### 4.6 Other Systems

The City has several other systems which this RFP calls for integrating with the new System. These include:

- 4.6.1 GIS with ESRI's ArcGIS 10.65, mature in its general implementation with 45 layers now in production. Two installations of ArcGIS Server Advanced, with 100 licenses of ArcGIS Mobile.
- 4.6.2 OnBase Document Imaging 17.
- 4.6.3 PeopleSoft Financials 9 and PeopleTools 8.49.
- 4.6.4 RescueNet FireRMS, formerly BIO-Key International, Inc.'s Fire Records Management System (FRMS), from Zoll Medical Corporation.
- 4.6.5 Oracle
- 4.6.6 Intergraph (Computer Aided Dispatch and Records Management)
- 4.6.7 Active Directory
- 4.6.8 Data conversion from existing asset management solutions: Lucity, MainSaver, MicroMain, ER Portal, Access databases and Tyler Technologies Asset Management.

#### 4.7 User Counts

- 4.7.1 Vendors should base their proposals on the following user-counts accessing the system from multiple local and remote locations:
  - a) A total of 455 named user-accounts for all applications.
  - b) A maximum of 245 concurrent users for all applications.

4.7.2 These user-counts and their related licenses specifically exclude access to these applications by the general public by means of the Internet. Internet services will be addressed separately, pursuant to each Vendor’s response to this RFP and the plan of services as negotiated and incorporated in the general agreement.

**USER COUNTS**

| Department/Function                      | Total Named Users | Maximum Concurrent Users |
|--|-------------------|--------------------------|
| City Manager                             | 1                 | 1                        |
| Sewer Maintenance/Storm Water Management | 34                | 24                       |
| Streets Maintenance                      | 30                | 15                       |
| Traffic Engineering                      | 25                | 12                       |
| Engineering/Construction                 | 20                | 5                        |
| Forestry                                 | 10                | 4                        |
| Rec Maintenance                          | 5                 | 1                        |
| Water Distribution                       | 55                | 19                       |
| Fire Department*                         | 15                | 5                        |
| Water Pollution Control                  | 84                | 40                       |
| <b>TOTAL CITY-WIDE</b>                   | <b>279</b>        | <b>126</b>               |

\*The Fire Department has a total of 45 personnel on different tours who may be using this system at various times.

4.8 Volume of Activities

The table below presents the best information available today regarding the volume of various activities related to the scope of this RFP.

| Type of Activity                      | Approximate Annual Volume |
|---------------------------------------|---------------------------|
| Streets Work Orders                   | 1,300                     |
| Sewer Work Orders                     | 1,900                     |
| Forestry Work Orders                  | 4,000                     |
| Water Distribution Work Orders        | 8,700                     |
| Water Pollution Control Work Orders   |                           |
| Fire Department Work Orders           | 7,000                     |
| Traffic Engineering Work Orders       | 3,000                     |
| Pools                                 | 500                       |
| Sign and Pavement Marking Work Orders | 2,000                     |
| <b>Total:</b>                         | <b>28,400</b>             |
| Streets Assets                        | 64,900                    |
| Sewer Assets                          | 107,000                   |
| Forestry Assets                       | 40,000                    |
| Water Distribution Assets             | 53,500                    |
| Water Pollution Control Assets        | 22,000                    |
| Fire Department Assets                | 1,400                     |
| Traffic Engineering Assets            | 150,000                   |
| Pools                                 | 1,000                     |
| <b>Total:</b>                         | <b>417,800</b>            |

4.9 Proposal Response

4.9.1 The City of Cedar Rapids is open to optional system components or strategies to enhance the Asset Management solution. Please include and identify any optional modules or strategies your solution offers.

- 4.9.2 Respondents shall respond to all specifications presented in and with this RFP. All goods and services shall represent the latest and best technology of the respondent itself or its subcontractors. All application software must run on a secure, multi-user basis.
- 4.9.3 Vendors may include the author and licensor of application software, along with qualified contractors capable of meeting the requirements contained in the RFP.
- 4.9.4 Vendor shall include a five year total cost of ownership projection, including data conversion.
- 4.9.5 The City will rely on the Vendor for all information and services required for the procurement, implementation and support of all data-communications facilities, goods and services required by the City for the full use of these services.
- 4.9.6 The quality of application software is the single most important element in the evaluation of responses to this RFP. Acceptance and payment will be based on the performance of the application software and system software.
- 4.9.7 The City of Cedar Rapids wishes to acquire a system which achieves a fully integrated, completely real-time, transaction-driven environment for all City users and the public, meeting the specifications of this RFP as much as possible.
- 4.9.8 The prime Vendor shall have in full production, as of January 1, 2018, at least 1 or 2 local-government customers of same or greater population and system requirement size as Cedar Rapids in the United States or Canada.
- 4.9.9 The prime Vendor shall have staffing resources to meet the requirements contained in this RFP.
- 4.9.10 The prime Vendor shall submit with its response audited financial statements for its two most recently completed fiscal years.
- 4.9.11 All Application Software proposed shall function fully in the open-systems environment as defined in this RFP.
- 4.9.12 The City of Cedar Rapids will accept only new hardware. Used or remanufactured hardware shall not be accepted.
- 4.9.13 The City of Cedar Rapids will accept only Vendor's or its Subcontractors' latest and best versions or releases of hardware, system software, applications or any other goods or services.
- 4.9.14 The agreement with the successful Vendor shall be for a perpetual license to use all application software and system software.
- 4.9.15 Notwithstanding the details presented in this RFP, it is the responsibility of the respondent to verify the completeness and suitability of the system to meet the intent of this RFP. Any additional goods or services required, even if not specifically mentioned herein, shall be provided by the respondent without claim for additional payment, it being understood that a complete, operating system is required. The successful Vendor shall be obligated to provide a system which meets all guarantees and representations in its response for the price contained therein. This includes, but is not limited to, all requirements of the State of Iowa and United States Government, whether or not specifically identified in this RFP. **THE CITY OF CEDAR RAPIDS WILL ACCEPT NO EXCEPTIONS TO THIS SECTION.**
- 4.9.16 The City of Cedar Rapids shall require acceptance tests by its personnel to ensure that the system and each component thereof function as specified. This procedure will be agreed upon by both parties prior to executing an agreement. All application software, system software and other components of the system shall remain the property of the vendor until acceptance by the City. The Vendor shall assume full financial responsibility until system acceptance testing has been completed successfully as mutually agreed upon by both parties. **THE CITY OF CEDAR RAPIDS WILL ACCEPT NO EXCEPTIONS TO THIS SECTION.**
- 4.9.17 The Vendor shall maintain and upgrade the system at its then-current or public-released level for the term of the agreement with the City pursuant to this RFP. There shall be no requirement on the City's part to incorporate any new features or new releases of application software or system software. Any change shall have minimal impact on system operations or the cost therefore shall be assumed by the Vendor. As long as the City remains under maintenance/support contract for any one or more items of

application software, it shall be entitled to receive all new releases of applications and system software at no extra cost. This section shall apply to hardware to the extent that any such new hardware releases or upgrades are distributed to cure a product defect or assure the level of performance required by this RFP. **THE CITY OF CEDAR RAPIDS WILL ACCEPT NO EXCEPTIONS TO THIS SECTION.**

- 4.9.18 Vendor shall supply the names and addresses of the service organizations which will provide the maintenance of all hardware. Vendor shall specify the maximum turnaround time for hardware maintenance—that is, the interval between the City placing a call for service and the arrival on site of a qualified maintenance person in Cedar Rapids.
- 4.9.19 The City of Cedar Rapids requires a two-hour initial response to applications and systems software calls. Respondents are required: (1) to make a continuous, good-faith effort until the required maintenance has been completed to the City’s satisfaction; and (2) to provide remote diagnostics for all application and systems software for these purposes. Vendors shall note as an exception all items of application and system software for which remote diagnostic support is not provided.
- 4.9.20 By submitting this proposal, Vendor agrees that the City shall at all times have full ownership of all data, records and files, in whatever form or media, which may be in the possession of Vendor or any of its Subcontractors.
- 4.9.21 Vendor shall provide a copy of all or any part of such data, records or files forthwith as the City may request from time to time for a specified fee to be negotiated as part of the agreement pursuant to this RFP.

----- End of Section 4.0 -----

## SECTION 5.0 – PROPOSAL EVALUATION AND AWARD

### 5.1 Award

Any award(s) made by the City of Cedar Rapids is subject to prior approval by the City of Cedar Rapids City Council.

5.1.1 Award shall be made to the responsible Proposer submitting the most responsive proposal which offers the greatest value to the City with regard to the criteria detailed and the specifications set forth herein. The City reserves the right to accept or reject any or all proposals; to resolicit the project; to use both primary and secondary consultants; to award proposals by groups; and to waive technicalities and formalities where it is deemed advisable in protection of the best interests of the City.

5.1.2 If the evaluation team determines that the project should be awarded, the process shall be as follows:

- a) The evaluation team shall determine which Proposer has submitted the most responsive and responsible proposal.
- b) For projects equal to or greater than \$50,000, the City Council shall consider a resolution awarding the Contract and authorizing the City Manager to sign the Contract on behalf of the City. **Note**, as provided for by Section 4.03 of the Cedar Rapids Municipal Code, no Contract shall be deemed to be created and exist, unless and until the City Council adopts a resolution awarding the project and authorizing the City Manager to sign the Contract.
- c) Consultant signs the Contract.
- d) The City Manager and the City Clerk execute the Contract.
- e) The City issues a purchase order to the Consultant. The purchase order shall constitute authorization for the Consultant to commence the Services.

5.1.3 If the evaluation team determines that all the proposals received shall be rejected, the Proposers will be notified by the Purchasing Services Division accordingly. At that point, the City may, or may not, resolicit the project.

### 5.2 Proposal Evaluation Criteria

Financial terms will not be the sole determining factor in the award. In general, the proposals will be evaluated based on, but not limited to, the general evaluation criteria stated below and the completeness, clarity and content of the proposal.

#### 5.2.1 Qualifications and Experience - 10 Percent

- a) Relevant experience of key personnel, including assigned Project Manager in order to assess background, capacity and experience
- b) On-going training proposed
- c) Relevance of references, including performance on other city projects
- d) Knowledge, experience and an established positive track record of accomplishing projects of similar nature and complexity
- e) Technical support proposed, including quality and sufficiency of training, training aids and user documentation
- f) Combined financial stability of prime contractor and subcontractors

#### 5.2.2 Financial Proposal - 15 Percent

#### 5.2.3 Company Responsiveness to RFP - 75 Percent

- a) Total scope of services proposed
- b) Demonstrated understanding of the project
- c) Proposed timeline and approach to project
- d) Responses to overall proposal and compliance with submission guidelines
- e) Proposal presentation (completeness, organization, appearance, etc.)
- f) Quality of core application software (easy navigation, reliability, performance, intuitive software)
- g) Compliance with information requested in the RFP



- h) Significance of exceptions taken to the RFP
- i) Consistency and compatibility in application modules
- j) Seamless integration of modules and third party products

5.3 The Company must not have any unresolved performance issues with the City of Cedar Rapids. The Company's performance as a prime Consultant or Subconsultant in previous City contracts shall be taken into account when evaluating the Company's submittal for this Request for Proposal.

5.4 The City may check the references provided and survey other local agencies during the proposal evaluation period to ensure the Company does not have any unresolved or unsatisfactory performance issues. The City reserves the right to reject the Company's submittal based on its assessment of the Company's prior performance.

#### 5.5 Proposal Evaluation Procedures

Proposals will be evaluated by a proposal evaluation team (hereinafter referred to as Team) using the following procedure. Each evaluator will rank each proposal on a scale of 1 to 10 for each of the criteria stated in Section 5.2. Evaluator scores will be averaged and the weighting percentage will be applied.

5.5.1 Team members will read each proposal and will evaluate based on their experience and judgment of how well the proposal addresses the City's requirements. Each prospective company is assured that any proposal submitted will be evaluated using the best available information and without any forgone conclusions.

5.5.2 Following the initial evaluation of responses, the City's primary stakeholders will apply the evaluation criteria in order to determine the vendors who are most qualified for further consideration. Each of these "semi-finalist" vendors will then be requested, at no cost to the City of Cedar Rapids, to demonstrate its system at City offices. Each Vendor's first demonstration may be expected to take one to two full days. City personnel will also be available during the demonstrations to meet with each Vendor's personnel to discuss any special requirements presented by this installation.

5.5.3 Following the conclusion of the first-round demonstrations, the City's team will meet and apply the evaluation criteria for the second time in order to select no more than two vendors to be invited for a second round of "finalist" demonstrations, each of which may be expected to take one full day.

5.5.4 In lieu of second-round demonstrations the primary stakeholders may elect to use other evaluative activities including, but not limited to, visits to currently installed sites of the finalist Vendors and requests for supplemental information.

5.5.5 Following the procedures previously described, the executive steering committee may make a final determination regarding the designation of a preferred Vendor. That firm will then be given the first opportunity to negotiate an agreement based upon this RFP. If that firm should fail for any reason to execute an agreement, then the City of Cedar Rapids, at its sole discretion, may present the agreement for execution with the next-ranking respondent, and so forth.

5.5.6 Execution of the agreement will be subject to funding and all authorizations otherwise required. The City Manager will not present the agreement to the City Council until the preferred Vendor has executed it and met all other applicable terms and conditions.

5.6 A Proposer's submission of a proposal constitutes its acceptance of this evaluation technique and its recognition and acceptance that subjective judgments will be used by the evaluators in the evaluation.

#### 5.7 Buy Local Program

The Cedar Rapids City Council has passed a resolution adopting a Buy Local Program for the procurement of goods and/or Services by competitive bid or proposal. Preference shall be applied to acceptable bids or proposals from businesses located within Linn County who have submitted a notarized Local Business Certificate. An additional 2% preference will be given for Certified Small Businesses within Linn County, Iowa who are registered with the Federal Government as one of the following: Small and Disadvantaged Business, Service Disabled Veteran Owned Small Business and Woman Owned Small Business. See Attachment C for details. If your company is already registered, or if this does not apply to your business, do not complete the form.

----- End of Section 5.0 -----

## SECTION 6.0 – SUBMITTAL INSTRUCTIONS

### 6.1 Financial Proposal

This portion of the proposal shall include ONLY the proposed cost. The financial proposal must also include a five year total cost of ownership projection, including data conversion.

### 6.2 Non-Financial Proposal

6.2.1 In order to facilitate the analysis of responses to this RFP, Consultants are required to prepare their proposals in accordance with the instructions outlined in this section. Consultants whose proposals deviate from these instructions may be considered non-responsive and may be disqualified at the discretion of the City of Cedar Rapids.

6.2.2 Proposals shall be prepared as simply as possible and provide a straightforward, concise description of the Consultant's capabilities to satisfy the requirements of the RFP. Expensive bindings, color displays, promotional materials, etc., are not necessary or desired. Emphasis shall be concentrated on accuracy, completeness, and clarity of content.

- a) Each of the five (5) sections listed below shall be tabbed and labeled.
- b) Each page shall be numbered on the bottom right hand corner.
- c) Submit one (1) original proposal and three (3) copies.
- d) The proposal shall be organized as follows:

| Proposal Tab Section | Title                              |
|----------------------|------------------------------------|
| 1.0                  | Cover Letter and Executive Summary |
| 2.0                  | Company Background and Experience  |
| 3.0                  | Response to Scope of Services      |
| 4.0                  | Financial Proposal                 |
| 5.0                  | Submittal Forms                    |

6.2.3 Tab 1.0 - The Consultant shall provide a Cover Letter on corporate letterhead, signed by an authorized representative of the company and an Executive Summary which will be limited to a brief narrative highlighting the Consultant's proposal. The Executive Summary should not include cost quotations.

6.2.4 Tab 2.0 - Consultants shall provide information about their company and the individuals assigned to provide the Services so the City of Cedar Rapids can evaluate the Consultant's stability and ability to support the commitments set forth in the RFP. The City of Cedar Rapids, at its option, may require a Consultant to provide additional documentation and/or clarify requested information.

- a) Brief description of the company including company size and organization, past history, present status, future plans, etc.
- b) Provide the name of the principal or project manager in your firm, including his/her office location, who will have direct and continued responsibility for the services provided to the City. This person will serve as the firm's first point-of-contact on all matters dealing with services and the handling of day-to-day activities through the duration of the project.
- c) Identify other individuals who will be assigned to this project by name, job classification and office location.
- d) Describe the professional experience of each individual proposed to be assigned to this project, including the project manager, and provide a detailed listing of the projects they have worked on for other clients.

6.2.5 Tab 3.0 - Consultants shall provide their response to the Scope of Services, including an outline for project management and task implementation. The work plan must detail the firm's services to be performed and a schedule that the firm proposes for completing the project. Please note that timely completion of this project is critical.

6.2.6 Tab 4.0 - Financial Proposal.

- 6.2.7 Tab 5.0 – Submittal Forms (Attachment C, includes General Company Information Form, Certification Regarding Ability to Obtain Required Insurance, Proposal Pricing Submittal Form, Signature Page Form and Buy Local Packet, if applicable)
- 6.2.8 All offers and other work products submitted in response to this RFP shall become the property of the City of Cedar Rapids.

----- End of Section 6.0 -----

## ATTACHMENT A – STANDARD TERMS AND CONDITIONS

**ACCELERATED PAY DISCOUNTS** - Accelerated discounts should be so stated on the Signature Page. If quick pay discounts are offered, the City reserves the right to include that discount as part of the award criteria. Prices proposed must, however, be based upon payment in net forty-five (45) days after receipt, inspection and acceptance. In all cases, quick pay discounts will be calculated from the date of the invoice or the date of acceptance, whichever is later.

### **ADA COMPLIANCE**

1. The Consultant shall comply with all applicable provisions of the Americans with Disabilities Act (Public Law 101-336, 42 U.S.C. 12101 et seq.) and applicable Federal regulations under the Act <https://www.law.cornell.edu/uscode/text/42/12101>.
2. Bids for design, construction, programs, policies and concessions of any type shall comply with the 2010 Standards for Accessible Design, the ADA title II regulation - <https://www.ada.gov/regs2010/2010ADASTandards/2010ADASTandards.htm>, Section 504 of the 1973 Rehabilitation Act <https://www.ada.gov/cguide.htm#anchor65610>, and similar statutes and regulations prohibiting discrimination on the basis of disability.
3. The Consultant shall ensure that its websites and all online services, including those websites or online services provided by third parties upon which Cedar Rapids relies to provide services or content, comply with, at minimum, Web Content Accessibility Guidelines - WCAG 2.0 AA.
4. It is the responsibility of the Consultant to understand and implement the Accessible Design specifications indicated above (Article 26.1 and 26.2) into all applicable designs, including being aware of and making design considerations for expected field or manufacturing tolerances, as stated in article 104.1.1 of the 2010 ADA Standards for Accessible Design. Further, the Consultant is responsible to make the Contractor aware of the specifications and tolerances, for projects that involve ADA applicable items. Any subsequent inspection of installations, facilities, or construction that results in failure to meet the Accessible Design parameters due to improper design, these items shall be removed and replaced at the expense of the Consultant.

**ASSIGNMENT** - The City and the Consultant each is hereby bound and the partners, successors, executors, administrators and legal representatives of the City and the Consultant are hereby bound to the other Party to the Contract and to the partners, successors, executors, administrators and legal representatives (and said assigns) of such other Party, in respect of all covenants, agreements and obligations of the Contract. Any assignment or attempt at assignment made without prior written consent of the City shall be void.

**PROPOSAL CURRENCY/LANGUAGE** - All proposal prices shall be shown in US Dollars (\$). All prices must remain firm for the duration of the contract regardless of the exchange rate. All Proposal responses must be submitted in English.

**PROPOSAL FORM** - Each Proposer must submit an original proposal and additional copies as required on the forms attached. The Proposer shall correctly sign the proposal, and the proposal may be rejected if it shows any omissions, alterations of the form, additions not called for in the proposal, or any irregularities of any kind. In case of a discrepancy between the unit price and the extended price, the unit price shall prevail.

**PROPOSAL INFORMATION IS PUBLIC** - All documents submitted with any proposal shall become public documents and subject to Iowa Code Chapter 22, which is otherwise known as the "Iowa Open Records Law". By submitting any document to the City of Cedar Rapids in connection with a proposal, the submitting party recognizes this and waives any claim against the City of Cedar Rapids and any of its officers and employees relating to the release of any document or information submitted. Each submitting party shall hold the City of Cedar Rapids and its officers and employees harmless from any claims arising from the release of any document or information made available to the City of Cedar Rapids arising from any opportunity.

**PROPOSAL REJECTION OR PARTIAL ACCEPTANCE** - The City reserves the right to accept or reject any or all proposals or parts thereof. The City further reserves the right to waive technicalities and formalities in proposals, as well as to accept in whole or in part such proposals where it is deemed advisable in protection of the best interests of the City.

**CONFLICT OF INTEREST** - Consultant represents, warrants, and covenants that no relationship exists or will exist during the Contract period between the Consultant and the City that is a conflict of interest. No employee, officer or agent of the Consultant shall participate in the selection or in the award if a conflict of interest, real or apparent, exists. The provisions of Iowa Code ch. 68B shall apply to the Contract. If a conflict of interest is proven to the City, the City may terminate the Contract, and Consultant shall be liable for any excess costs to the City as a result of the conflict of interest. The Consultant shall establish safeguards to prevent employees, consultants, or members of governing bodies from using their positions for purposes that are, or give the appearance of being, motivated by the desire for private gain for themselves or others with whom they have family, business, or other ties. The Consultant shall report any potential, real, or apparent conflict of interest to the City.

**DISPUTES** - Should any disputes arise with respect to the Contract; the Parties agree to act immediately to resolve such disputes. Time is of the essence in the resolution of disputes. The Consultant agrees that, the existence of a dispute notwithstanding, it will continue without delay to carry out all of its responsibilities under the Contract that are not affected by the dispute and the City shall continue to make payment for all Services properly performed. Should the Consultant fail to continue to perform its responsibilities regarding all non-disputed Services, without delay, any additional costs incurred by the City or the Consultant as a result of such failure to proceed shall be borne by the Consultant. The unintentional delayed payment by the City to the Consultant of one or more invoices not in dispute in accordance with the terms of the Contract will not be cause for Consultant to stop or delay Services.

**FOB POINT AND FREIGHT/DELIVERY CHARGES** - The FOB point, in terms of loss or damage, as well as where title to the goods is passed, shall be FOB-Destination. Freight/delivery charges are to be included in the quoted price of the goods, rather than as a separate line item.

**FORCE MAJEURE** - Force majeure shall be any of the following events: acts of God or the public enemy; compliance with any order, rule, regulation, decree, or request of any governmental authority or agency or person purporting to act therefore; acts of war, public disorder, rebellion, terrorism, or sabotage; floods, hurricanes, or other storms; strikes or labor disputes; or any other cause, whether or not of the class or kind specifically named or referred to herein, not within the reasonable control of the Party affected. A delay in or failure of performance of either Party shall not constitute a default hereunder nor be the basis for, or give rise to, any claim for damages, if and to the extent such delay or failure is caused by force majeure. The Party who is prevented from performing by force majeure shall be obligated, within a period not to exceed fourteen (14) days after the occurrence or detection of any such event, to give notice to the other Party setting forth in reasonable detail the nature thereof and the anticipated extent of the delay, and shall remedy such cause as soon as reasonably possible, as mutually agreed between the Parties.

**INDEMNIFICATION** - The Consultant shall, and hereby agrees to, protect, defend, indemnify and hold harmless the City of Cedar Rapids, its officers and employees from any and all claims, settlements, judgments, and damages of every kind and nature made, to include all costs associated with the investigation and defense of any claim, rendered or incurred by or on behalf of the City, its officers, and employees, that may arise, occur, or grow out of any errors, omissions, or acts, done by the Consultant, its employees, or any independent Consultants working under the direction of either the Consultant in the performance of the Contract.

**LAWS AND REGULATIONS** - The Contract shall be governed, interpreted and enforced in accordance with all applicable federal, State of Iowa, and local laws, ordinances, licenses and regulations of a governmental body having jurisdiction and shall apply to the Contract throughout, as the case may be. The Consultant certifies that in performing the Contract they will comply with all applicable provisions of the federal, state, and local laws, regulations, rules, and orders.

**NO GIFT STANDARD** - The City of Cedar Rapids is committed to upholding the highest ethical standards in all of its business practices. This standard recognizes the need to avoid even the perception of improper gifts or favors to employees. Therefore, all suppliers have been asked to abide by the City's "No Gift" standard. The "No Gift" standard also applies to all offers of discounts or free items at any place of business targeted toward a City employee and not available to the general public, regardless of the value.

**NON-COLLUSION STATEMENT** - Neither the Consultant, nor anyone in the employment of the Consultant, has employed any person to solicit or procure the Contract nor will the Consultant make any payment or agreement for payment of any compensation in connection with the Contract. There is no contract, agreement or arrangement, either oral or written, expressed or implied, contemplating any division of compensation for Services rendered under the Contract or participation therein, directly or indirectly, by any other person, firm or corporation, except as documented in the Contract. Neither the Consultant, nor anyone in the employment of the Consultant, has either directly or indirectly entered into any agreement, participated in any collusion or otherwise taken any action in restraint of free competitive procurement in connection with the Contract.

**NON-DISCRIMINATION AND EQUAL OPPORTUNITY** - All Consultants that engage in contracts with the City of Cedar Rapids, Iowa agree as follows: The Consultant will not discriminate against any employee or applicant for employment because of race, sex, color, creed, ancestry, national origin, marital status, families with children, religion, age, disability, sexual orientation, gender identity, genetic information, status with regard to public assistance, status as a veteran or any classification protected by federal, state, or local law, (Protected Classes) except where age and sex are essential bona fide occupational requirements, or where disability is a bona fide occupational disqualification. Such action shall include, but not be limited to the following; (a) Employment, (b) Upgrading, (c) Demotion or transfer, (d) Recruitment and advertising, (e) Layoff or termination, (f) Rate of pay or other forms of compensation, and (g) Selection for training, including apprenticeship. The Contractor further assures that managers and employees comply with both the spirit and intent of federal, state, and local legislation, government regulation, and executive orders in providing affirmative action as well as equal opportunity without regard to the protected classes, as stated above. The Consultant will include, or incorporate by reference, the provisions of the nondiscrimination clause in every contract or subcontract unless exempt by the rules, regulations or orders of the City's Affirmative Action Program and will provide in every contract or subcontract that said provision will be binding upon each Consultant.

**REGULATORY AGENCY COMPLIANCE** - Compliance with laws and regulations set forth by regulatory agencies is required. These agencies include, but are not limited to, OSHA – Occupational Safety & Health Agency, EPA – Environmental Protection Agency, ICC – Interstate Commerce Commission, DNR – Department of Natural Resources, and DOT – Department of Transportation. The City of Cedar Rapids expects that Consultants will offer expertise on conformance of regulations applying to the services they provide.

**RIGHT TO PROTEST** - Anyone wishing to file a protest concerning (1) the specifications, (2) the proposal procedure or (3) the award of the contract must do so in writing in accordance with the City's Protest Procedure which is posted on the City's website at [http://www.cedar-rapids.org/document\\_center/Purchasing/Protest%20Procedure\\_14.pdf](http://www.cedar-rapids.org/document_center/Purchasing/Protest%20Procedure_14.pdf)

**SUBCONTRACTING** - The Services relating to this Project, or any portion thereof, may not be subcontracted without written approval from the City. All approved Subcontractors shall be listed in the resulting contract or in a written amendment to the contract.

**SUSPENSIONS AND DEBARMENT** - The Contractor hereby certifies, pursuant to 2 CFR pt. 180 and 2 CFR pt. 3000, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in the Contract by any federal agency. The Contractor further certifies that it is not presently debarred, suspended, proposed for

debarment, declared ineligible, or voluntarily excluded from participation in any contracts with the City of Cedar Rapids or the State of Iowa.

**TAXES** - The City of Cedar Rapids is exempt from sales tax and certain other use taxes. Any charges for taxes from which the City is exempt will be deducted from invoices before payment is made. The Cedar Rapids Tax ID number is 42-6004336.

**TERMINATION OF CONTRACT FOR CONVENIENCE** - The City may terminate the Contract at any time by giving written notice to the Consultant of such termination and specifying the effective date thereof, at least thirty (30) calendar days before the effective date of such termination. In that event, all finished or unfinished Services, reports, materials(s) prepared or furnished by the Consultant under the Contract shall, at the option of the City, become its property. If the Contract is terminated by the City as provided herein, the Consultant shall be paid for all Services which has been authorized, provided, and approved up to the effective date of termination. The City will not be subject to any termination fees from the Consultant.

**TERMINATION FOR CAUSE AND DEFAULT** - If through any cause, the Consultant shall fail to fulfill in a timely and proper manner its obligations or if the Consultant shall violate any of the terms or conditions of the Contract, the City shall thereupon have the right to terminate the Contract by giving written notice to the Consultant of such termination and specifying the effective date of termination. In that event, and as of the time notice is given by the City, all completed Services, reports, and delivered materials shall, at the option of the City, become its property, and the Consultant shall be entitled to receive compensation for any satisfactory Services completed. Notwithstanding the above, the Consultant shall not be relieved of liability to the City for damage sustained by the City by virtue of breach of the Contract by the Consultant and the City may withhold any payments to the Consultant for the purpose of set off until such time as the exact amount of damages due the City are determined.

**WARRANTIES - INTELLECTUAL PROPERTY** - Consultant represents and warrants that all the materials, goods and services produced, or provided to the City pursuant to the terms of the Contract shall be wholly original with the Consultant or that the Consultant has secured all applicable interests, rights, licenses, permits or other intellectual property rights in such materials, goods and services. The Consultant represents and warrants that the materials, goods and services, and the City's use of same, and the exercise by the City of the rights granted by the Contract shall not infringe upon any other services or violate the rights of publicity or privacy of, or constitute a libel or slander against, any person, firm, or corporation. Consultant further represents and warrants that the materials and services do not infringe upon the copyright, trademark, trade name, trade dress patent, statutory, common law or any other rights of any person, firm or corporation or other entity. The Consultant represents and warrants that it is the owner of or otherwise has the right to use and distribute the goods and services contemplated by the Contract.

**WARRANTIES - PROFESSIONAL SERVICES** - The Consultant shall perform Services for, and furnish deliverables to, the City pertaining to the Project as set forth in this Contract. The Consultant shall possess a degree of learning, care and skill ordinarily possessed by reputable professionals, practicing in this area under similar circumstances. The Consultant shall use reasonable diligence and professional judgment in the exercise of skill and application of learning.

Consultant represents that the Services and all of its components shall be free of defects; shall be performed in a manner consistent with the standard of care of other professional service providers in a similar industry and application; shall conform to the requirements of this Contract; and shall be sufficient and suitable for the purposes expressed in this Contract.

Consultant shall be responsible for the quality, technical accuracy, completeness and coordination of all Documents and other items and Services under this Contract. Consultant shall, promptly and without charge, provide all corrective Services necessary as a result of Consultant's acts, errors, or omissions with respect to the quality and accuracy of Services and documents.

Consultant shall be responsible for any and all damages to property or persons as a result of Consultant's acts, errors, or omissions, and for any losses or costs to repair or remedy any services undertaken by City based upon the services as a result of any such acts, errors, or omissions.

Consultant's obligations shall exist without regard to, and shall not be construed to be waived by, the availability or unavailability of any insurance, either of City or Consultant.

----- End of Attachment A -----

## ATTACHMENT B – INSURANCE REQUIREMENTS

### **Section I – Basic Insurance Requirements**

Consultant, at its own expense, shall procure and maintain during the life of the Contract, the following insurance so as to cover all risk which shall arise directly or indirectly from Consultant's obligations and activities.

**General Liability Insurance** Consultant shall carry the most recently approved ISO Commercial General Liability Insurance policy, or its equivalent, written on an occurrence-basis, with limits not less than \$1,000,000 per occurrence/ \$2,000,000 general aggregate for Bodily Injury and Property Damage, including the following coverages:

- Premises and Operations Coverage
- Contractual Liability
- Products and Completed Operations Coverage
- Broad Form Property Damage Liability
- Personal Injury Liability

**Automobile Liability Insurance** with a combined single limit of at least \$1,000,000 per occurrence for bodily injury and property damage. Coverage shall include all owned, hired, and non-owned motor vehicles used in the performance of the Contract by the Consultant or its employees.

**Workers Compensation and Employers Liability Insurance** meeting the relevant Workers Compensation Statutes.

**Professional Liability Insurance** with limits of at least \$1,000,000 per occurrence covering all services provided by the Consultant, its employees, or independent consultants. If this coverage is written on a claims made policy form, the certificate of insurance must clearly state coverage is claims made and coverage must remain in effect for at least two years after final payment with the Consultant continuing to furnish the City certificates of insurance.

**REQUIRED THREE (3) ENDORSEMENTS are to be added to the General Liability Policy. Copies of the endorsements are to be produced with the certificate.**

**1. Additional Insured Endorsement:**

Except for Workers' Compensation and Professional Liability, the policies shall include the City Additional Insured Endorsement of: The City of Cedar Rapids, Iowa, including all its elected and appointed officials, all its employees and volunteers, all its boards, commissions and/or authorities and their board members, employees, and volunteers, are included as additional insureds with respect to liability arising out of the Insured's work and/or services performed for the City of Cedar Rapids, Iowa. This coverage shall be primary to the additional insureds, and not contributing with any other insurance or similar protection available to the additional insureds, whether available coverage be primary, contributing, or excess.

**2. Non-Waiver of Governmental Immunities Endorsement (Iowa):**

a. **Non-waiver of Government Immunity** The insurance carrier expressly agrees and states that the purchase of this policy and the including of the City of Cedar Rapids, Iowa as an Additional Insured does not waive any of the defenses of governmental immunity available to the City of Cedar Rapids, Iowa under Code of Iowa Section 670.4 as it now exists and as It may be amended from time to time.

b. **Claims Coverage** The insurance carrier further agrees that this policy of insurance shall cover only those claims not subject to the defense of governmental immunity under the Code of Iowa Section 670.4 as it now exists and as may be amended from time to time.

c. **Assertion of Government Immunity** The City of Cedar Rapids, Iowa shall be responsible for asserting any defense of governmental immunity, and may do so at any time and shall do so upon the timely written request of the insurance carrier. Nothing contained in this endorsement shall prevent the carrier from asserting the defense of governmental immunity on behalf of the City of Cedar Rapids, Iowa.

d. **Non-Denial of Coverage** The insurance carrier shall not deny coverage under this policy and the insurance carrier shall not deny any of the rights and benefits accruing to the City of Cedar Rapids, Iowa under this policy for reasons of governmental immunity unless and until a court of competent jurisdiction has ruled in favor of the defense(s) of governmental immunity asserted by the City of Cedar Rapids, Iowa.

e. **No Other Change in Policy** The insurance carrier and the City of Cedar Rapids, Iowa agree that the above preservation of governmental immunities shall not otherwise change or alter the coverage available under the policy.

### 3. Cancellation and Material Changes Endorsement

Thirty (30) days Advance Written Notice of Cancellation, Non-Renewal, Reduction in insurance coverage and/or limits and ten (10) days written notice of non-payment of premium shall be sent to:

City of Cedar Rapids  
Finance Department – Purchasing Services Division  
101 First Street SE  
Cedar Rapids IA 52401

**(Please note that the City does accept a signed letter on the agent’s letterhead, from the insured’s insurance agent, confirming that the agent will provide notice as indicated above.)**

### **Section II – Conditions of Contract**

The Consultant is required to purchase and maintain insurance coverage to protect the Consultant and City of Cedar Rapids throughout the duration of the Contract per the minimum limits above written and the requirement shall be a part of the Contract. Failure on the part of the Consultant to maintain this insurance in full effect will be treated as a failure on the part of the Consultant to comply with these requirements and be considered sufficient cause to suspend the services, withhold payment(s), and/or be disqualified in the future.

The insurance policies shall be issued by insurers authorized to do business in the State of Iowa and currently having an A.M. Best Rating of “B+” or better. All policies shall be occurrence form. If Professional Liability coverage is written on a claims made policy form, the certificate of insurance must clearly state coverage is claims made and coverage must remain in effect for at least two years after final payment with the Consultant continuing to furnish the CITY certificates of insurance.

The Consultant shall be responsible for deductibles and self-insured retentions in the Consultant’s insurance policies.

The Consultant is required to give the City notice of any change in coverage, specifically, any reduction in coverage and cancellation of coverage no less than thirty (30) days prior to the effective date of any non-renewal or cancellation of any policies required by the Contract.

The City intends for the Consultant’s coverage to be primary and not contributing with any other insurance or similar protection available to the City whether any other coverage is primary, contributing or excess.

### **Section III – Contract Approval**

A Certificate of Insurance is required evidencing all required insurance coverage as provided above with any required endorsements attached so as to evidence their inclusion in the coverage. The Certificate of Insurance is due before the Contract can be approved. The following format is required:

List Asset Management Solution, RFP#PUR0218-129 as the Scope of Services the certificate covers in the Description of Operations section.

The following address must appear in the Certificate Holder section:

City of Cedar Rapids  
Finance Department – Purchasing Services Division  
101 First Street SE  
Cedar Rapids IA 52401

The Producer’s contact person’s name, phone number and e-mail address is required.

Certificates may be sent by e-mail ([d.muench@cedar-rapids.org](mailto:d.muench@cedar-rapids.org)), fax (888-815-3659), mail or delivery to the attention of Diane Muench.



**ATTACHMENT C**

**PROPOSAL SUBMITTAL FORMS**

For

**ASSET MANAGEMENT SOLUTION**

**RFP #PUR0218-129**

| <b>FORM NAME</b>  | <b>Page</b> |
|---|-------------|
| General Company Information Form.....                             | 26          |
| Certification Regarding Ability to Obtain Required Insurance..... | 27          |
| Signature Page Form.....  | 28          |
| Buy Local Packet (submit only if applicable).....                 | 29          |

**GENERAL COMPANY INFORMATION FORM**

Company Name \_\_\_\_\_

Company Address \_\_\_\_\_

General Description of the Company: \_\_\_\_\_

Type of Organization (franchise, corporation, partnership, etc.) \_\_\_\_\_

Number of years in business: \_\_\_\_\_

**References**

List three (3) customers who are current or have been served by your company within the last three (3) years with projects of similar scopes. (Name of firm, address, contact person, phone number)

**Reference #1 - Name:** \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person & Phone: \_\_\_\_\_

Date & Description of Job: \_\_\_\_\_

Contract Value: \_\_\_\_\_

**Reference #2 - Name:** \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person & Phone: \_\_\_\_\_

Date & Description of Job: \_\_\_\_\_

Contract Value: \_\_\_\_\_

**Reference #3 - Name:** \_\_\_\_\_

Address: \_\_\_\_\_

Contact Person & Phone: \_\_\_\_\_

Date & Description of Job: \_\_\_\_\_

Contract Value: \_\_\_\_\_

**Personnel**

Name and title of person overseeing the City account: \_\_\_\_\_

Office Phone: \_\_\_\_\_ Mobile: \_\_\_\_\_ Email: \_\_\_\_\_

Names, titles and years of experience of persons expected to service the City account:

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**CERTIFICATION REGARDING ABILITY TO OBTAIN REQUIRED INSURANCE**

**CERTIFICATION BY PROPOSER'S INSURANCE AGENT/BROKER REGARDING PROPOSER'S ABILITY TO OBTAIN REQUIRED INSURANCE COVERAGE AND ENDORSEMENTS**

I hereby certify that my client, as identified below, will be able to meet all of the insurance requirements of Attachment B, has been advised of any additional costs associated with doing so, and has agreed to obtain such coverage and endorsements if selected as the successful proposer of the RFP to which my client has responded:

Project Name and Number: \_\_\_\_\_

Legal Name of Proposer: \_\_\_\_\_

Name/Address of Insurance Agency:  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

Email: \_\_\_\_\_

Name of Agent/Broker (Print): \_\_\_\_\_

Signature of Agent/Broker: \_\_\_\_\_

Date of Signature: \_\_\_\_\_

**SIGNATURE PAGE FORM**

The undersigned, having examined these documents and having full knowledge of the condition under which the Services described herein must be provided, hereby proposes fulfillment of the obligations contained herein in accordance with all insurance documents, instructions, terms, conditions, and specifications set forth; and that all required Services be furnished and that all incidental costs be paid in strict conformity with these documents, for the stated prices as payment in full.

Submitting Firm: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ County: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Authorized Representative (print): \_\_\_\_\_ Title: \_\_\_\_\_

Authorized Signature: \_\_\_\_\_

Date: \_\_\_\_\_ E-mail: \_\_\_\_\_

Phone # ( ) \_\_\_\_\_ Fax # ( ) \_\_\_\_\_

Federal ID Number \_\_\_\_\_

D-U-N-S (<https://fedgov.dnb.com/webform>) \_\_\_\_\_

Iowa Department of Labor Registration Number, if applicable \_\_\_\_\_

The State of Iowa requires that all individual contractors and businesses performing "construction" work within Iowa be registered with the Division of Labor and renew that registration annually. More information about this law can be found at <http://www.iowaworkforce.org/labor/contractor.htm>

**FIRM PRICING**

Offered pricing shall remain firm for a minimum of sixty (60) days after the due date of this solicitation unless indicated otherwise. Accepted pricing shall remain firm for the duration of the contract.

**ADDENDA {It is the Proposer's responsibility to check for issuance of any addenda}**

The above-signed hereby acknowledges receipt of the following addenda:

Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_ Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_

Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_ Addenda Number: \_\_\_\_\_ Date: \_\_\_\_\_

**PAYMENT METHOD**

Do you accept a credit card for payment of purchases? Yes  No

**QUICK PAY DISCOUNT**

If you provide a discount for quick payment, please state the discount and terms: \_\_\_\_\_ % \_\_\_\_\_ days

Does this discount apply to payments made by MasterCard? Yes  No

**PROPOSED SUBCONSULTANTS (Reference General Terms and Conditions, section titled *Subcontracting*).**

If awarded this project, do you plan to use any subconsultants? Yes  No  If yes, list information below.

| Subconsultant Company Name | Address | IA Contractor Registration #<br>(if applicable) |
|----------------------------|---------|---|
|                            |         |   |
|                            |         |   |
|                            |         |   |

We choose not to bid at this time.  We would like to be considered for future solicitations.

## BUY LOCAL PACKET

The Cedar Rapids City Council adopted the Buy Local Purchasing Policy through City Council Resolution No. 1239-10-10.

1. Who is local?

- a. Businesses located within Linn County, Iowa who have paid Linn County property taxes on a plant, office or store occupied by the business for the past year; or
- b. Businesses located within Linn County, Iowa who have paid rent for the past year to a landlord or owner who has paid Linn County property taxes for the past year on the plant, office or store occupied by the business.

2. How do I apply for local preference status?

- a. Complete a "Local Business Certificate". (See page 3 of this packet)
- b. Mail the notarized, completed certificate to:  
City of Cedar Rapids – Purchasing Division  
101 First Street SE  
Cedar Rapids, IA 52401

3. After I return the notarized certificate, how do I know if my business is on the list?

A list of certified businesses can be viewed on the City's website:

[http://www.cedar-rapids.org/local\\_government/departments\\_g - v/purchasing\\_services/buy\\_local.php](http://www.cedar-rapids.org/local_government/departments_g-v/purchasing_services/buy_local.php)

Please allow up to 10 days for processing of the certificate before the business is listed.

4. Will the local preference policy be applied to all purchases for goods and services?

No, the following types of purchases are excluded:

- a. Purchases subject to the competitive laws of the State of Iowa
- b. Purchases subject to federal, state or county grant stipulations
- c. Purchases from the State of Iowa or other national contracts
- d. Sole source purchases

5. Do you have questions or feedback about the Buy Local Program?

Please send questions via email to [buylocal@cedar-rapids.org](mailto:buylocal@cedar-rapids.org)

6. If I work out of my home, and my home is in Linn County, am I eligible to become a certified local business?

In order to qualify as local business your business must pay commercial property taxes related to the business being certified as local business. Residential property taxes paid for a home business do not qualify for the buy local certification.

7. How does the Buy Local Program work?

Preference shall be applied to acceptable quotes, bids and proposals greater than \$1,000 from businesses within Linn County, Iowa who have submitted a notarized "Local Business Certificate".

Example A: Preference shall be given in the procurement of goods and/or services by bid or quote when a local vendor's bid or quote exceeds the acceptable low bid by no more than:

10% for bids less than \$25,000

5% for bids equal to or greater than \$25,000 but less than \$200,000

1% for bids equal to or greater than \$200,000

| Bid Tabulation for a 20' Enclosed Trailer |              |                |               |
|---|--------------|----------------|---------------|
|   | Vendor A     | Vendor B       | Vendor C      |
|   | Marion, IA   | Des Moines, IA | Davenport, IA |
| BID PRICE                                 | \$ 15,147.99 | \$ 14,770.55   | \$ 18,250.00  |

- This bid is less than \$25,000 so the preference is 10%
- Vendor B submitted the lowest bid of \$14,770.55
- Vendor B is not a local business
- Vendor A submitted the next lowest bid of \$15,147.99
- Vendor A is a certified local business
- $\$15,147.99 - \$14,770.55 = \$377.44 / 14,770.55 = 2.56\%$
- The difference between the two bids is 2.56% which is within 10% so the local preference applies
- The bid is awarded to the local vendor, Vendor A for \$15,147.99

Example B: Preference shall be given in the procurement of goods and/or services by Request for Proposal (RFP) by awarding additional points to the evaluation scores of proposals received from certified local businesses as follows:

10% of all available points for proposals less than \$25,000

5% of all available points for proposals equal to or greater than \$25,000 but less than \$200,000

1% of all available points for proposals equal to or greater than \$200,000

| Proposal Summary            |               |                  |              |
|-----------------------------|---------------|------------------|--------------|
|                             | Vendor A      | Vendor B         | Vendor C     |
|                             | Iowa City, IA | Cedar Rapids, IA | Hiawatha, IA |
| Points                      | 976.7         | 723              | 636.8        |
| Points for Local Preference | 0             | 50               | 50           |
| TOTAL POINTS                | 976.7         | 773              | 686.8        |

- This proposal is greater than \$25,000 but less than \$200,000 so the preference is 5%
- The total available points are 1,000 (5% of 1,000 points = 50 points)
- The proposal received from Vendor A was given 976.7 points by the evaluation team
- Vendor B and Vendor C each received 50 additional points per the local preference policy
- After the additional points were applied, Vendor A remained the highest ranked proposal
- Local preference did not change the award in this case



STATEMENT OF POLICY

CITY OF CEDAR RAPIDS LOCAL BUSINESS CERTIFICATE

Pursuant to Cedar Rapids City Council Resolution 1239-10-10, in conducting the procurement of goods and/or services by competitive solicitation, the City of Cedar Rapids shall give preference to a responsive bid or proposal from a business located within the limits of Linn County, Iowa over an acceptable bid or proposal submitted by a business located outside of Linn County.

Preference shall be given in conducting procurement of goods and/or services by bid or quote when a local bidder's bid or quote exceeds the acceptable low bid by no more than:

- 10% for bids less than \$25,000
• 5% for bids equal to or greater than \$25,000 but less than \$200,000
• 1% for bids equal to or greater than \$200,000

Preference shall be given in conducting procurement of goods and/or services by request for proposal by awarding additional points to each proposal where the business is located in Linn County as follows:

- 10% of all available points for proposals less than \$25,000
• 5% of all available points for proposals equal to or greater than \$25,000 and less than \$200,000
• 1% of all available points for proposals equal to or greater than \$200,000

The local preference is not applicable to goods and services purchased with the assistance of federal, state or county grants or funds, or pursuant to the competitive laws of the State of Iowa.

WRITTEN STATEMENT REQUESTING LOCAL BUSINESS STATUS

I, \_\_\_\_\_, am an authorized representative of \_\_\_\_\_ (name of business) and on behalf of the business request that it be deemed to be a local business for purposes of the City of Cedar Rapids "Buy Local" program. Answering yes to question 1 and either question 2 or 3 listed below will qualify the business as a local business. In support of this request I certify the following information as being true and correct:

Table with 3 rows and 3 columns. Row 1: Name of Business, (1) Is your business located within the limits of Linn County, Iowa?, No. of Years. Row 2: (2) Did your business pay Linn County property taxes on a plant, office or store occupied by the business for the past year?, Street address of property, Is this your home residence?. Row 3: (3) Did your business pay rent for the past year to a landlord or owner who has paid Linn County property taxes for the past year on a plant, office or store occupied by your business?, Street address of property, Is this your home residence?.

I understand that misrepresentation of any facts in connection with this request may be cause for removal from the certified local business list. I also agree the business is required to notify the City in writing should it cease to qualify as a local business.

Signature \_\_\_\_\_ Title \_\_\_\_\_ Date \_\_\_\_\_
Address \_\_\_\_\_ City/State \_\_\_\_\_ Zip \_\_\_\_\_
Phone \_\_\_\_\_ Email \_\_\_\_\_ County \_\_\_\_\_

Subscribed and sworn to this \_\_\_\_ day of \_\_\_\_\_, 20\_\_ before the undersigned Notary Public.

NOTARY PUBLIC, STATE OF IOWA

To confirm your status, check the certified local business list which is posted on the City's website:
http://www.cedar-rapids.org/local\_government/departments\_g\_-\_v/purchasing\_services/buy\_local.php.
Questions about the Buy Local program may be emailed to buylocal@cedar-rapids.org.

Mail the notarized, completed certificate to ->->-> City of Cedar Rapids - Purchasing Division
101 First Street SE
Cedar Rapids, IA 52401

Internal Use Only: Vendor ID: \_\_\_\_\_ Vendor Location ID: \_\_\_\_\_ Updated by: \_\_\_\_\_



STATEMENT OF POLICY

CITY OF CEDAR RAPIDS SMALL BUSINESS CERTIFICATE

On August 8, 2017, the City Council approved Resolution No. 1048-08-17 to amend the Purchasing Manual to include an additional preference for Certified Small Businesses within Linn County, Iowa who are registered with the Federal Government as one or more of the following: Small and Disadvantaged Business, Service Disabled Veteran Owned Small Business or Woman Owned Small Business.

In order for a business to be entitled to a local preference and a small business preference, a business must have the following:

- 1. Completed, approved, notarized Local Business Certificate on file with the City of Cedar Rapids Finance Department (see pages 1-3);
2. Completed, approved, notarized Small Business Certificate on file with the City of Cedar Rapids Finance Department (see page 4);
3. DUNS# and
4. Be registered with the Federal Government on the System for Award Management (SAM) website (www.sam.gov).

The preference is not applicable to sole source purchases, goods and services purchased with the assistance of federal, state or county grants or funds, or pursuant to the competitive laws of the State of Iowa.

Preference shall be given to Certified Small Businesses in conducting procurement of goods and/or services by bid, quote or proposal as follows:

- 1. For Bids and Quotes: An extra 2% shall be applied for Certified Local Businesses who are registered with the Federal Government as a Small Business and designated as one or more of the types of businesses described herein.
2. For Proposals: An extra 2% of all available points shall be applied for Certified Local Businesses who are registered with the Federal Government as a Small Business and designated as one or more of the types of businesses described herein.

WRITTEN STATEMENT REQUESTING SMALL BUSINESS STATUS

I, \_\_\_\_\_, am an authorized representative of \_\_\_\_\_ (name of business) and on behalf of the business request that it be deemed to be a small business for purposes of the City of Cedar Rapids "Buy Local" program. In support of this request I certify the following information as being true and correct:

Form with fields for: Name of Business, Do you have a DUNS Number?, Does your company have an active registration with the Federal Government on the SAM website, and Indicate which small business designation your company is registered as on the SAM website.

I understand that misrepresentation of any facts in connection with this request may be cause for removal from the certified small business list. I also agree the business is required to notify the City in writing should it cease to qualify as a certified small business.

Signature, Title, Date, Address, City/State, Zip, Phone, Email, County

Subscribed and sworn to this \_\_\_ day of \_\_\_\_\_, 20\_\_\_ before the undersigned Notary Public.

\_\_\_\_\_, NOTARY PUBLIC, STATE OF IOWA

Mail the notarized, completed certificate to ->->- City of Cedar Rapids - Purchasing Division
Internal Use Only: 101 First Street SE Cedar Rapids, IA 52401
Vendor ID: \_\_\_\_\_ Vendor Location ID: \_\_\_\_\_ Updated by: \_\_\_\_\_